

# Guide to the United States Railroad Securities Commission Papers

MS 517



compiled by Staff of Manuscripts and Archives

January 1980

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## Collection Overview

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**CALL NUMBER:** MS 517

**CREATOR:** United States. Railroad Securities Commission

**TITLE:** United States Railroad Securities Commission papers

**DATES:** 1890-1914

**PHYSICAL DESCRIPTION:** 4 linear feet (4 boxes)

**LANGUAGE:** English

**SUMMARY:** Correspondence, testimony, legal briefs, published reports and studies, and other papers used by William E.S. Griswold, secretary of the Railroad Securities Commission, for an investigation of the railroads' issuance of stocks and bonds, 1910-1911. Includes "Proceedings of the investigation" (3 vols.). Correspondents include Louis D. Brandeis, Charles G. Dawes, Stuyvesant Fish, James Jerome Hill, Walker Downer Hines, Robert Scott Lovett, Samuel Rea, Jacob Henry Schiff, Paul Moritz Warburg, and George Woodward Wickersham.

**ONLINE FINDING AID:** To cite or bookmark this finding aid, please use the following link: <https://hdl.handle.net/10079/fa/mssa.ms.0517>

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## Requesting Instructions

To request items from this collection for use in the Manuscripts and Archives reading room, please use the request links in the HTML version of this finding aid, available at <https://hdl.handle.net/10079/fa/mssa.ms.0517>.

To order reproductions from this collection, please go to [http://www.library.yale.edu/mssa/ifr\\_copy\\_order.html](http://www.library.yale.edu/mssa/ifr_copy_order.html). The information you will need to submit an order includes: the collection call number, collection title, series or accession number, box number, and folder number or name.

Key to the container abbreviations used in the PDF finding aid:

b.      box

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## Administrative Information

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### Immediate Source of Acquisition

Gift of William Edward Schenck Griswold, 1920 and William E. S. Griswold, Jr., 1967.

## Conditions Governing Access

The materials are open for research.

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## Conditions Governing Use

Copyright status for collection materials is unknown. Transmission or reproduction of materials protected by U.S. Copyright Law (Title 17, U.S.C.) beyond that allowed by fair use requires the written permission of the copyright owners. Works not in the public domain cannot be commercially exploited without permission of the copyright owners. Responsibility for any use rests exclusively with the user.

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## Preferred Citation

United State Railroad Securities Commission Papers (MS 517). Manuscripts and Archives, Yale University Library.

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## Other Finding Aids

Additional information not yet available in the online version of the finding aid exists in the repository. Contact Manuscripts and Archives for assistance.

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## Scope and Contents

The U.S. Railroad Securities Commission Papers extend from 1890 to 1914, although the bulk of the material falls within the two years, 1910 and 1911.

The collection deals with the investigation, in 1910, of questions pertaining to the issuance of stocks and bonds by railroad corporations. Included in the material is the correspondence of the Commission, assorted documents collected for its use, and the bound testimony of its public hearings.

The collection consists of 4 containers, holding approximately 2000 items, and three bound volumes.

## Collection Contents

b.1	Correspondence	1910 March 14-1911 March 1
b.2	Correspondence	1911 March 2-1914 December
b.2	<i>Proceedings of Investigation</i> (3 volumes)	1910-1911
b.3	U.S. Congress, Acts and Bills regarding Railroads	1890-1910
b.3	Investigations of Interstate Commerce Commission regarding Railroads	1890-1910
b.3	Bibliographical Information used by Commission	
	Correspondence	
b.3	Letters to Railroad Securities Commission	1911
b.3	Bound volume of letters	1910 February 25-1911 October 17
b.3	Some published reports bound with letters Correspondents list available in repository. Contact MSSA for assistance.	
	Manuscripts	
b.3	"Constitutional and Statutory Provisions of the several states and territories and of Canada in regard to Securities of Railroad Corporations". Typed MS., bound. English	
b.3	U.S. Railroad Securities Commission. Information concerning preferred stock of leading railroads". Typed MS (34 pp.)	1911
b.3	"Listing of State, P[ublic] S[ervice] Commissions and Capitalization". Holograph and Typed MS., bound (15 pp.) English	
b.3	"Compilation of court cases, citing abstracts of court decisions, extracts and statements of people used by Securities Commission". Typed MS (157 pp.) English	
	Published material	
b.3	<i>Reference to State Laws in regard to Securities of Railroad Corporations.</i> Annotated working copy (52 pp.) [2 copies]	1911
b.4	Printed Material regarding State Railroad Laws	
b.4	Speeches and Writings re Railroad Capitalization and Federal Regulation. Index available in repository. Contact MSSA for assistance.	
b.4	Miscellaneous Data used by Commission	1905-1910

b. 4            Miscellaneous Charts regarding Railroad Revenues and Securities

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## **Selected Search Terms**

The following terms have been used to index the description of this collection in the Library's online catalog. They are grouped by name of person or organization, by subject or location, and by occupation and listed alphabetically therein.

### **Subjects**

Business  
Industrial development bonds  
Railroads -- Finance  
Tender offers (Securities)

### **Names**

Griswold, William Edward Schenck, 1872-1964  
Schiff, Jacob H. (Jacob Henry), 1847-1920  
Warburg, Paul M. (Paul Moritz), 1868-1932  
Wickersham, George W. (George Woodward),  
1858-1936

### **Corporate Body**

United States. Railroad Securities Commission