

Guide to the Investment Office, Yale University, Records

RU 310



compiled by Daniel Hartwig

June 2008

Yale University Library
P.O. Box 208240
New Haven, CT 06520-8240
(203) 432-1735
(203) 432-7441

beinecke.library@yale.edu

<http://www.library.yale.edu/mssa/>

Table of Contents

Collection Overview	3
Requesting Instructions	3
Administrative Information	3
Conditions Governing Access	3
Conditions Governing Use	4
Preferred Citation	4
Processing Information	4
Scope and Contents	4
General note	4
Arrangement	4
Collection Contents	5
Accession 1981-A-046: Reports, 1978-1980	5
Accession 1981-A-047: Records, 1973-1980	6
Accession 1982-A-043: Records on investment companies, circa 1974-1982	7
Accession 1983-A-076: Reports, circa 1978-1982	8
Accession 1984-A-046: Reports, 1980-1983	9
Accession 1985-A-029: Yale Endowment: Bankers Trust custody account; statements, 1982-1984	10
Accession 1985-A-030: Records, 1970-1984	11
Accession 1985-A-031: Records, 1972-1983	12
Accession 1985-A-032: Records, 1972-1985	13
Accession 1986-A-075: Records, 1984-1985	14
Accession 1986-A-092: Records, 1975-1985	15
Accession 1987-A-017: Records, 1973-1985	16
Accession 1987-A-100: Records, 1976-1986	17
Accession 1988-A-050: Records, 1983-1987	18
Accession 1988-A-052: Records, 1966-1987	19
Accession 1988-A-072: Records, 1974-1987	20
Accession 1988-A-095: Records, 1980-1986	21
Accession 1989-A-011: Investment tickets and transfer notices, 1986-1988	22
Accession 1989-A-019: Records, 1984-1987	23
Accession 1989-A-028: Records, circa 1982-1987	24
Accession 1989-A-054: Records, 1977-1988	25
Accession 1989-A-094: Records, 1985-1988	26
Accession 1989-A-110: Records, 1985-1988	27
Accession 1990-A-012: Records, 1982-1989	28
Accession 1990-A-019: Records, 1973-1989	29
Accession 1990-A-133: Records, circa 1988-1989	30
Accession 1990-A-134: U.S. fixed income chart service, circa 1988-1989	31
Accession 1991-A-051: Records, 1979-1990	32
Accession 1991-A-098: Records, 1988-1989	33
Accession 1997-A-008: Records, 1985-1995	34
Accession 1998-A-001: Investment responsibility records, 1986-1988	35
Accession 2007-A-111: Real estate records, 1957-1997	36
Accession 2007-A-113: Capital market documents, 1941-1969	37
Accession 2022-A-0037: Programs from the memorial service of David F. Swensen, 2022	38
Selected Search Terms	39

Collection Overview

REPOSITORY: Manuscripts and Archives
Yale University Library
P.O. Box 208240
New Haven, CT 06520-8240
(203) 432-1735
(203) 432-7441
beinecke.library@yale.edu
<http://www.library.yale.edu/mssa/>

CALL NUMBER: RU 310

CREATOR: Yale University. Investment Office

TITLE: Investment Office, Yale University, records

DATES: 1941-2022

PHYSICAL DESCRIPTION: 193.27 linear feet (184 boxes)

LANGUAGE: English

SUMMARY: The records consist of reports, statements, and administrative files documenting the activities and operations of the Yale Investment Office.

ONLINE FINDING AID: To cite or bookmark this finding aid, please use the following link: <https://hdl.handle.net/10079/fa/mssa.ru.0310>

Requesting Instructions

To request items from this collection for use in the Manuscripts and Archives reading room, please use the request links in the HTML version of this finding aid, available at <https://hdl.handle.net/10079/fa/mssa.ru.0310>.

To order reproductions from this collection, please go to http://www.library.yale.edu/mssa/ifr_copy_order.html. The information you will need to submit an order includes: the collection call number, collection title, series or accession number, box number, and folder number or name.

Key to the container abbreviations used in the PDF finding aid:

b. box
f. folder

Administrative Information

Conditions Governing Access

Access to the records is restricted. See Collection Contents for details.

Some records in this finding aid have been redacted, as they include student names, donor names, and other restricted data. These records will not appear in the published finding aid.

Conditions Governing Use

Copyright for materials authored or otherwise produced as official business of Yale University is retained by Yale University. Copyright status for other collection materials is unknown. Transmission or reproduction of materials protected by U.S. Copyright Law (Title 17, U.S.C.) beyond that allowed by fair use requires the written permission of the copyright owners. Works not in the public domain cannot be commercially exploited without permission of the copyright owners. Responsibility for any use rests exclusively with the user.

Preferred Citation

Investment Office, Yale University, Records (RU 310). Manuscripts and Archives, Yale University Library.

Processing Information

Yale University records are arranged and described at the accession level by the creating office. The University Archives creates collection level descriptive records, but typically does no further arrangement and description at the accession level.

Scope and Contents

The records consist of reports, statements, and administrative files documenting the activities and operations of the Yale Investment Office.

General note

Forms part of Yale Record Group 5-D (YRG 5-D), Investment and insurance records of Yale University.

Arrangement

The records are arranged by accession.

Collection Contents

Accession 1981-A-046: Reports, 1978-1980

Restricted until Jan 1, 2030.

b. 2, f. 44

New Perspective Fund: Annual Report

1979

Accession 1981-A-047: Records, 1973-1980

Restricted until Jan 1, 2030

b. 1, f. 4	XYZ Corporation retirement plan	1979
b. 2, f. 39	New Enterprise Associates II	
b. 2, f. 50	Miscellaneous	

Accession 1982-A-043: Records on investment companies, circa 1974-1982

Restricted until Jan 1, 2032.

b. 1, f. 8	Commodities Corp.	1981-1982
b. 1, f. 9	Computer Directions Advisors (CDA)	1975-1982

Accession 1983-A-076: Reports, circa 1978-1982

Restricted until Jan 1, 2032.

b. 2, f. 6	Connecticut Bank and Trust	circa 1978-1982
b. 2, f. 8	Hartford National Bank	circa 1978-1982
b. 3, f. 13	Miscellaneous International Investment Managers	circa 1978-1982

Accession 1984-A-046: Reports, 1980-1983

Restricted until Jan 1, 2033.

**Accession 1985-A-029: Yale Endowment: Bankers Trust custody account; statements,
1982-1984**

Restricted until January 1, 2034, as established by [Yale Corporation](#).

Accession 1985-A-030: Records, 1970-1984

Restricted until Jan 1, 2034.

Accession 1985-A-031: Records, 1972-1983

Restricted until Jan 1, 2033.

b. 5, f. 163 - 164 Correspondence Account -- Review Summary RLG

Accession 1985-A-032: Records, 1972-1985

Restricted until Jan 1, 2035.

Accession 1986-A-075: Records, 1984-1985

Restricted until Jan 1, 2035.

Accession 1986-A-092: Records, 1975-1985

Restricted until Jan 1, 2035.

b. 1, f. 10	Memos to Investment Accounting	1983
b. 1, f. 11	Memos to ADS	1983

Accession 1987-A-017: Records, 1973-1985

Restricted until Jan 1, 2021

	Yale University Income Investment Fund	
	Yale University UUFFE	
b. 3, f. 28	Common Trust Fund STIF - Annual Report	1984 December 31
	Yale Alumni	
b. 3, f. 38	Class of '64 - T. Rowe Price Annual Report	1985 June 30
b. 3, f. 39	Class of '64 - Quarter Century Fund - Brokow Capital Management Co. - Annual Report	1985 June 30
	Yale Press	

Accession 1987-A-100: Records, 1976-1986

Restricted until Jan 1, 2036.

b. 2, f. 30	Computer Focus	
b. 2, f. 51	Common Fund (Bond Fund)	
b. 2, f. 52	Common Fund	
b. 3, f. 100	Operating Budget	1983-1984
b. 3, f. 104	Commissions by Manager	
b. 3, f. 119	Stanford University	
b. 3, f. 120	Yale Charges - Open	
b. 4, f. 121	Commissions (Soft Dollars)	

Accession 1988-A-050: Records, 1983-1987

Restricted until Jan 1, 2037.

Accession 1988-A-052: Records, 1966-1987

Restricted until Jan 1, 2037.

Accession 1988-A-072: Records, 1974-1987

Restricted until Jan 1, 2037.

Accession 1988-A-095: Records, 1980-1986

Restricted until Jan 1, 2036.

b. 1, f. 25

Miscellaneous Documents

1986

Accession 1989-A-011: Investment tickets and transfer notices, 1986-1988

Restricted until Jan 1, 2038.

Accession 1989-A-019: Records, 1984-1987

Restricted until Jan 1, 2037.

Accession 1989-A-028: Records, circa 1982-1987

Restricted until Jan 1, 2037.

b. 2, f. 8

Yale Wells Fargo Inv. Advisors

Accession 1989-A-054: Records, 1977-1988

Restricted until Jan 1, 2038.

Accession 1989-A-094: Records, 1985-1988

Restricted until Jan 1, 2038.

Accession 1989-A-110: Records, 1985-1988

Restricted until Jan 1, 2038.

Accession 1990-A-012: Records, 1982-1989

Restricted until Jan 1, 2039.

b. 4

Common Fund Short Term: Statements

1986 - 1988

Accession 1990-A-019: Records, 1973-1989

Restricted until Jan 1, 2039.

b. 7, f. 104 - 105	Connecticut National Bank	1988 July
b. 7, f. 114	Yale Golf Course	1980
b. 7, f. 115	Yale University Press / Common Fund	1985-1986
b. 7, f. 116	Yale Press Investments, Fees	1985-1986
b. 7, f. 117	Yale Press Ledger Sheets	1984-1986

Accession 1990-A-133: Records, circa 1988-1989

Restricted until Jan 1, 2039.

Accession 1990-A-134: U.S. fixed income chart service, circa 1988-1989

Accession 1991-A-051: Records, 1979-1990

Restricted until Jan 1, 2040.

b. 1, f. 9	Common Fund for International Investment	1983, 1985
b. 1, f. 10	Common Fund: The Use of Simulations in Endowment Spending	1985
	Connecticut National Bank Balances	
	Common Fund	
	Connecticut National Bank Transfers	
b. 7, f. 158	Yale University Securities Lending	1990 July 2 - September 13
b. 7, f. 167	Yale University Securities Lending: Approved Lists of Primary Deals	1985-1986
b. 8, f. 212	New American Telephone and Telegraph Purchased	1984 February 24
b. 9, f. 213	Yale Merge	1985, 1988-1989

Accession 1991-A-098: Records, 1988-1989

Restricted until Jan 1, 2039.

Accession 1997-A-008: Records, 1985-1995

Restricted until Jan 1, 2045.

Accession 1998-A-001: Investment responsibility records, 1986-1988

Restricted until Jan 1, 2038.

Accession 2007-A-111: Real estate records, 1957-1997

Restricted until Jan 1, 2047.

b. 9, f. 210 - 211	New Haven Real Estate Development Advisory Committee (NHREDC)	1989 February
b. 9, f. 215	Meeting	1990 February 23
b. 9, f. 216	Meeting	1993 April 26
b. 9, f. 217	Meeting	1992 July 29
b. 9, f. 219	Meeting	1988 June 29
b. 9, f. 220	Meeting	1988 January 15
b. 9, f. 221	Meeting	1987 September 9
b. 9, f. 224	Yale University Officers Real Estate Meeting	5 May 1992

Accession 2007-A-113: Capital market documents, 1941-1969

Restricted until Jan 1, 2019.

Yale Portfolio

b. 1, f. 8	1968 Jun
b. 1, f. 9 - 11	1967 Sep, Jun
b. 1, f. 12 - 15	1966 Jun, Jul, Dec, Sep
b. 1, f. 16	1964 Dec

Yale Bond Transfers

b. 2, f. 27	1949-1970
b. 2, f. 28	1941-1962

**Accession 2022-A-0037: Programs from the memorial service of David F. Swensen,
2022**

Open for research.

b. 1, f. 1

Programs from the memorial service of David F. Swensen

2022

Selected Search Terms

The following terms have been used to index the description of this collection in the Library's online catalog. They are grouped by name of person or organization, by subject or location, and by occupation and listed alphabetically therein.

Subjects

Investments
Universities and colleges -- Finance

Corporate Bodies

Yale University. Investment Office
Yale University. Office of Finance and
Administration
Yale University -- Finance